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## Computability

### February 2, 2024

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**Exercise 1**

definitions  
proofs  
small variations

- a. Provide the definition of a recursive set.
- b. Provide the definition of a recursively enumerable (r.e.) set.
- c. Show that given  $A, B \subseteq \mathbb{N}$ , if  $A$  is recursive and  $B = A \cap \mathbb{P}$  then  $B$  is recursive (here  $\mathbb{P}$  denotes the set of even numbers). Does the converse hold? I.e., is it the case that if  $B = A \cap \mathbb{P}$  is recursive then  $A$  is recursive?

**Exercise 2**

constructions of  $\mathbb{P}R / \mathbb{R}$   
diagonalisation  
s.m.n

State the s-m-n theorem and use it to prove that there exists a total computable function  $s : \mathbb{N} \rightarrow \mathbb{N}$  such that  $W_{s(x)} = \mathbb{P}$  and  $E_{s(x)} = \{z \in \mathbb{N} \mid z \geq x\}$  (where again  $\mathbb{P}$  is the set of even numbers).

**Exercise 3**

classify sets (recursive / r.e.), saturatedness

Classify the following set from the point of view of recursiveness

$$A = \{x \mid W_x = E_x\},$$

i.e., establish if  $A$  and  $\bar{A}$  are recursive/recursively enumerable.

**Exercise 4**

Classify the following set from the point of view of recursiveness

$$B = \{x \in \mathbb{N} \mid \exists z. \varphi_x(z) > x\},$$

i.e., establish if  $B$  and  $\bar{B}$  are recursive/recursively enumerable. Also establish if  $B$  is saturated.

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*Note: Each exercise contributes with the same number of points (8) to the final grade.*

**ORAL EXAM**: optional, needed for distinction (grade)  
focused on theory / proofs  
range: +/- 4

## Exercise 1

- Provide the definition of a recursive set.
- Provide the definition of a recursively enumerable (r.e.) set.
- Show that given  $A, B \subseteq \mathbb{N}$ , if  $A$  is recursive and  $B = A \cap \mathbb{P}$  then  $B$  is recursive (here  $\mathbb{P}$  denotes the set of even numbers). Does the converse hold? I.e., is it the case that if  $B = A \cap \mathbb{P}$  is recursive then  $A$  is recursive?

(a) A set  $A \subseteq \mathbb{N}$  is recursive if the characteristic function

$$\chi_A : \mathbb{N} \rightarrow \mathbb{N}$$

$$\chi_A(x) = \begin{cases} 1 & \text{if } x \in A \\ 0 & \text{if } x \notin A \end{cases} \quad \text{is computable}$$

(b) A set  $A \subseteq \mathbb{N}$  is r.e. if semi-characteristic function

$$s\chi_A : \mathbb{N} \rightarrow \mathbb{N}$$

$$s\chi_A(x) = \begin{cases} 1 & \text{if } x \in A \\ \uparrow & \text{otherwise} \end{cases} \quad \text{computable}$$

(c) Let  $A \subseteq \mathbb{N}$  be recursive set,  $\mathbb{P} = \{m \in \mathbb{N} \mid m \text{ is even}\}$

Then we want show that  $B = A \cap \mathbb{P}$  is recursive

In fact

$$\mathbb{P} \text{ is recursive in fact } \chi_{\mathbb{P}}(x) = \overline{\text{sg}}(\text{em}(2, x))$$

is computable

and thus  $B = A \cap \mathbb{P}$  intersection of two recursive sets  
is recursive

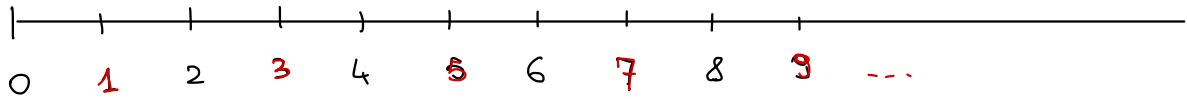
$$\text{explicitly, } \chi_B(x) = \chi_A(x) \cdot \chi_{\mathbb{P}}(x)$$

computable since it is the

composition of computable functions

\* Does the converse hold? I.e. is it the case that

$A \cap \mathbb{P}$  recursive  $\Rightarrow$   $A$  recursive? NO



$A = \{2x+1 \mid x \in K\}$  is intuitively "equivalent" to  $K$

more precisely  $K \leq_m A$

the reduction function  $f: \mathbb{N} \rightarrow \mathbb{N}$  total computable s.t.

$\forall x \quad x \in K \iff f(x) \in A$

can be  $f(x) = 2x+1$

Since  $K$  is not recursive,  $A$  is not recursive

However

$A \cap \mathbb{P} = \emptyset$  is recursive

## Exercise 2

- (a) State the s-m-n theorem and use it to prove that there exists a total computable function  $s : \mathbb{N} \rightarrow \mathbb{N}$  such that  $W_{s(x)} = \mathbb{P}$  and  $E_{s(x)} = \{z \in \mathbb{N} \mid z \geq x\}$  (where again  $\mathbb{P}$  is the set of even numbers).

(a) s-m-n theorem: For  $m, n \geq 1$  there is  $s_{m,n} : \mathbb{N}^{m+1} \rightarrow \mathbb{N}$  total and computable such that  $\forall e \in \mathbb{N} \forall \vec{x} \in \mathbb{N}^m \forall \vec{y} \in \mathbb{N}^n$

$$\varphi_e^{(m+n)}(\vec{x}, \vec{y}) = \varphi_{s_{m,n}(e, \vec{x})}^{(n)}(\vec{y})$$

(b) Define  $g : \mathbb{N}^2 \rightarrow \mathbb{N}$

$$g(x, y) = \begin{cases} x + y/2 & \text{if } y \text{ is even} \\ \uparrow & \text{otherwise} \end{cases}$$

$$= x + qt(2, y) + \mu z. \text{ } \pi m(2, y)$$

$$\begin{array}{l} \underbrace{\begin{array}{l} 0 \text{ if } y \text{ even} \\ 1 \text{ if } y \text{ odd} \end{array}} \\ \underbrace{\begin{array}{l} 0 \text{ if } y \text{ even} \\ \uparrow \text{ if } y \text{ odd} \end{array}} \end{array}$$

$g$  is computable (composition and minimisation of computable functions)

Hence, by (the corollary of) the s-m-n theorem there is

$s : \mathbb{N} \rightarrow \mathbb{N}$  total and computable such that  $\forall x, y$

$$\varphi_{s(x)}(y) = g(x, y) = \begin{cases} x + y/2 & \text{if } y \text{ is even} \\ \uparrow & \text{otherwise} \end{cases}$$

Now we argue that  $s$  is the desired function

→ total and computable

$$\rightarrow W_{S(x)} = \mathbb{P} \quad \text{by construction}$$

$$\rightarrow E_{S(x)} = \{ \varphi_{S(x)}(y) \mid y \in W_{S(x)} \}$$

$$= \{ x + \frac{y}{2} \mid y \in \overset{\text{II}}{\mathbb{P}} \}$$

$$= \{ x + \frac{2z}{2} \mid z \in \mathbb{N} \}$$

$$= \{ x + z \mid z \in \mathbb{N} \}$$

$$= \{ z' \mid z' \geq x \}$$

as desired.

### Exercise 3

Classify the following set from the point of view of recursiveness

$$A = \{x \mid W_x = E_x\},$$

i.e., establish if  $A$  and  $\bar{A}$  are recursive/recursively enumerable.

Conjecture :  $A$  not r.e.  $W_x, E_x$  infinite  
 $\equiv \forall y$  if  $\varphi_x(y) \downarrow$   
then  $\exists z \varphi_x(z) = y$

$\bar{A}$  not r.e.

We observe that  $A$  is saturated

$$A = \{x \mid \varphi_x \in \mathcal{A}\}$$

$$\text{with } \mathcal{A} = \{f \mid \text{dom}(f) = \text{cod}(f)\}$$

We use Rice-Shapiro for showing that  $A$  and  $\bar{A}$  are not r.e.

•  $A$  not r.e.

$$\mathbb{1} \left( \begin{array}{l} \text{constant one} \\ \mathbb{1}(x) = 1 \\ \forall x \end{array} \right)$$

$$\text{then } \text{dom}(\mathbb{1}) = \mathbb{N} \neq \{1\} = \text{cod}(\mathbb{1})$$

$$\text{hence } \underline{\mathbb{1} \notin \mathcal{A}}$$

$$\text{and } \underline{\varnothing = \phi} \text{ finite, } \underline{\varnothing \subseteq \mathbb{1}, \varnothing \in \mathcal{A}}$$

$\uparrow$   
always  
undefined

$$\text{in fact } \text{dom}(\varnothing) = \phi = \text{cod}(\varnothing)$$

hence by Rice-Shapiro  $A$  not r.e.

- $\bar{A}$  is not r.e.

$$\bar{A} = \{ f \mid \text{dom}(f) \neq \text{cod}(f) \}$$

we look for a function  $f \notin \bar{A}$  with  $\varnothing \subseteq f$ ,  $\varnothing$  finite,  $\varnothing \in \bar{A}$

consider  $\text{pred} : \mathbb{N} \rightarrow \mathbb{N}$   $\text{pred}(x) = x - 1$

- $\text{pred} \notin \bar{A}$  (i.e.  $\text{pred} \in A$ )

since  $\text{dom}(\text{pred}) = \mathbb{N} = \text{cod}(\mathbb{N})$

- define

$$\vartheta(x) = \begin{cases} \text{pred}(x) & x \leq 1 \\ \uparrow & \text{otherwise} \end{cases} = \begin{cases} 0 & \text{if } x \leq 1 \\ \uparrow & \text{otherwise} \end{cases}$$

$\varnothing \subseteq \text{pred}$ , finite

$\varnothing \in \bar{A}$   $\text{dom}(\vartheta) = \{0, 1\} \neq \{0\} = \text{cod}(\vartheta)$

hence by Rice-Shapiro  $\bar{A}$  not r.e.

Since  $A, \bar{A}$  not r.e. they are neither recursive.

#### Exercise 4

Classify the following set from the point of view of recursiveness

$$B = \{x \in \mathbb{N} \mid \exists z. \varphi_x(z) > x\},$$

i.e., establish if  $B$  and  $\bar{B}$  are recursive/recursively enumerable. Also establish if  $B$  is saturated.

conjecture : •  $B$  r.e. , not recursive

$\hookrightarrow \bar{B}$  not r.e.  $\Rightarrow \bar{B}$  not recursive

•  $B$  not saturated

(a)  $B$  is r.e.

$$B = \{x \in \mathbb{N} \mid \exists z. \varphi_x(z) > x\},$$

The semi-characteristic function

$$SC_B(x) = \mathbb{I} \left( \mu(z, y, t). S(x, z, y, t) \ \& \ (y > x) \right)$$

$\uparrow$   
 $y = x+1 + y'$

$$= \mathbb{I} \left( \mu \left( \underbrace{z, y, t}_{\omega} \right). S(x, z, x+1 + y', t) \right)$$

$$= \mathbb{I} \left( \mu \omega. \underbrace{S(x, (\omega)_1, x+1 + (\omega)_2, (\omega)_3)}_{\text{decidable}} \right)$$

$$= \mathbb{I} \left( \mu \omega. \underbrace{|\chi_s(x, (\omega)_1, x+1 + (\omega)_2, (\omega)_3) - 1|}_{\text{computable}} \right)$$

computable by composition and minimisation.

( show  $B \leq_m K$  is an alternative , typically not convenient )



(b) B is not recursive

we show the above by arguing that

$$K \leq_m B$$

and since  $K$  is not recursive,  $B$  is not recursive.

Define

$$g(x, y) = \begin{cases} y & \text{if } x \in K \\ \uparrow & \text{if } x \notin K \end{cases}$$
$$= y * s_K(x)$$

computable, hence by smm theorem, there is  $s: \mathbb{N} \rightarrow \mathbb{N}$

total and computable such that  $\forall x, y$

$$\varphi_{s(x)}(y) = g(x, y) = \begin{cases} y & \text{if } x \in K \\ \uparrow & \text{otherwise} \end{cases}$$

We claim that  $s$  is the reduction function for  $K \leq_m B$

$$* \quad x \in K \quad \rightsquigarrow \quad s(x) \in B$$

$$\text{if } x \in K \quad \text{then} \quad \varphi_{s(x)}(y) = g(x, y) = y \quad \forall y$$

in particular for all  $z > s(x)$  eg.  $z = s(x) + 1$

$$\varphi_{s(x)}(z) = z > s(x) \quad \text{hence } s(x) \in B$$

$$* \quad x \notin K \quad \rightsquigarrow \quad s(x) \notin B$$

$$\text{if } x \notin K \quad \text{then} \quad \varphi_{s(x)}(y) = g(x, y) = \uparrow \quad \forall y \quad \text{hence}$$

~~$\exists z$~~  s.t.  $\varphi_{s(x)}(z) > s(x)$ . Thus  $s(x) \notin B$

Thus  $K \leq_m B$ , hence  $B$  is not recursive

Since  $B$  is r.e. and not recursive,  $\bar{B}$  is not r.e.

(otherwise if  $B, \bar{B}$  were r.e.  $B$  would be recursive).

Since  $\bar{B}$  is not r.e. then it is not recursive.

(c) Is  $B$  saturated? NO

$$B = \{x \in \mathbb{N} \mid \exists z. \varphi_x(z) > x\},$$

We show that there is  $e \in \mathbb{N}$  s.t.

$$\varphi_e(x) = e+1 \quad \forall x \quad (*)$$

This is sufficient to conclude that  $B$  is not saturated

\*  $e \in B$        $\varphi_e(0) = e+1 > e$

\* there are infinitely many  $e'$  s.t.  $\varphi_{e'} = \varphi_e$ .

Take  $e' > e$  s.t.  $\varphi_{e'} = \varphi_e$

\*  $e' \notin B$        $\varphi_{e'}(x) = \varphi_e(x) = e \neq e' \quad \forall x$

We show (\*). Define

$$g(x, y) = x+1 \quad \text{computable}$$

By smm theorem there is  $s: \mathbb{N} \rightarrow \mathbb{N}$  total computable s.t.  $\forall x, y$

$$\varphi_{s(x)}(y) = g(x, y) = x+1$$

Since  $s$  is total computable, by 2<sup>nd</sup> recursion theorem

there is  $e \in \mathbb{N}$  s.t.  $\varphi_e = \varphi_{s(e)}$ . Thus

$$\underline{\varphi_e(y)} = \varphi_{s(e)}(y) = g(e, y) = \underline{e+1} \quad \forall y$$

Hence  $B$  not saturated.