

T11

We have a fixed DFA  $A$ .  $L(A)$  over  $\Sigma = \{0,1\}$

Exercise #1

$L(A) \neq \emptyset$ ;  $L(A) \neq \Sigma^*$ .  $L(A)$  not finite  
redundant  $\rightarrow |\emptyset| = 0 \rightarrow$  finite

It is possible that  $\epsilon \in L(A)$

$$\mathcal{P} = \{ L \mid L \in RE, \dots, L \cap L(A) = \emptyset \}$$

not a language (elements are sets/languages, not strings!)  
we call  $\mathcal{P}$  a class of languages  
switch to language

$$L_{\mathcal{P}} = \{ enc(M) \mid L(M) \in \mathcal{P} \} \leftarrow \text{language!}$$

a) Prove that  $L_{\neq}$  not in REC, using Rice's Theorem  
left as a homework [deadline Sept. 5th]

b) Prove that  $L_{\neq}$  is not in RE. Difficult!

$L_e \notin RE$  then :

$L_e \leq_m L_{\neq}$

don't do !!

too difficult

intuition:  $L_e$  is very  
different from  $L_{\neq}$  ...

reduction will be very  
much involved : not

good way of  
attacking problem

Alternative solution : look into  $L_{\bar{\emptyset}} = \overline{L_{\emptyset}}$

$\bar{\emptyset} = \{ L \mid L \in RE, L \cap L(A) \neq \emptyset \}$  complement of  $\emptyset$



if we could prove  $L_{\bar{\emptyset}}$  is in RE,  
then because  $L_{\bar{\emptyset}}$  is not in REC (thm)  
it follows that  $L_{\emptyset}$  not in RE (thm)

question

$L_{\emptyset}$

$\overline{L_{\emptyset}} = L_{\bar{\emptyset}}$   
outside of RE

This is RE \ REC

we prove this

•  $\overline{L_\emptyset} = L_{\overline{\emptyset}} = \{ \text{enc}(M) \mid L(M) \cap L(A) \neq \emptyset \}$

We prove  $\overline{L_\emptyset}$  is in RE

→ specify TM  $M$ , s.t.  $L(M) = \overline{L_\emptyset}$

does not need to halt for strings not in  $\overline{L_\emptyset}$

TM:

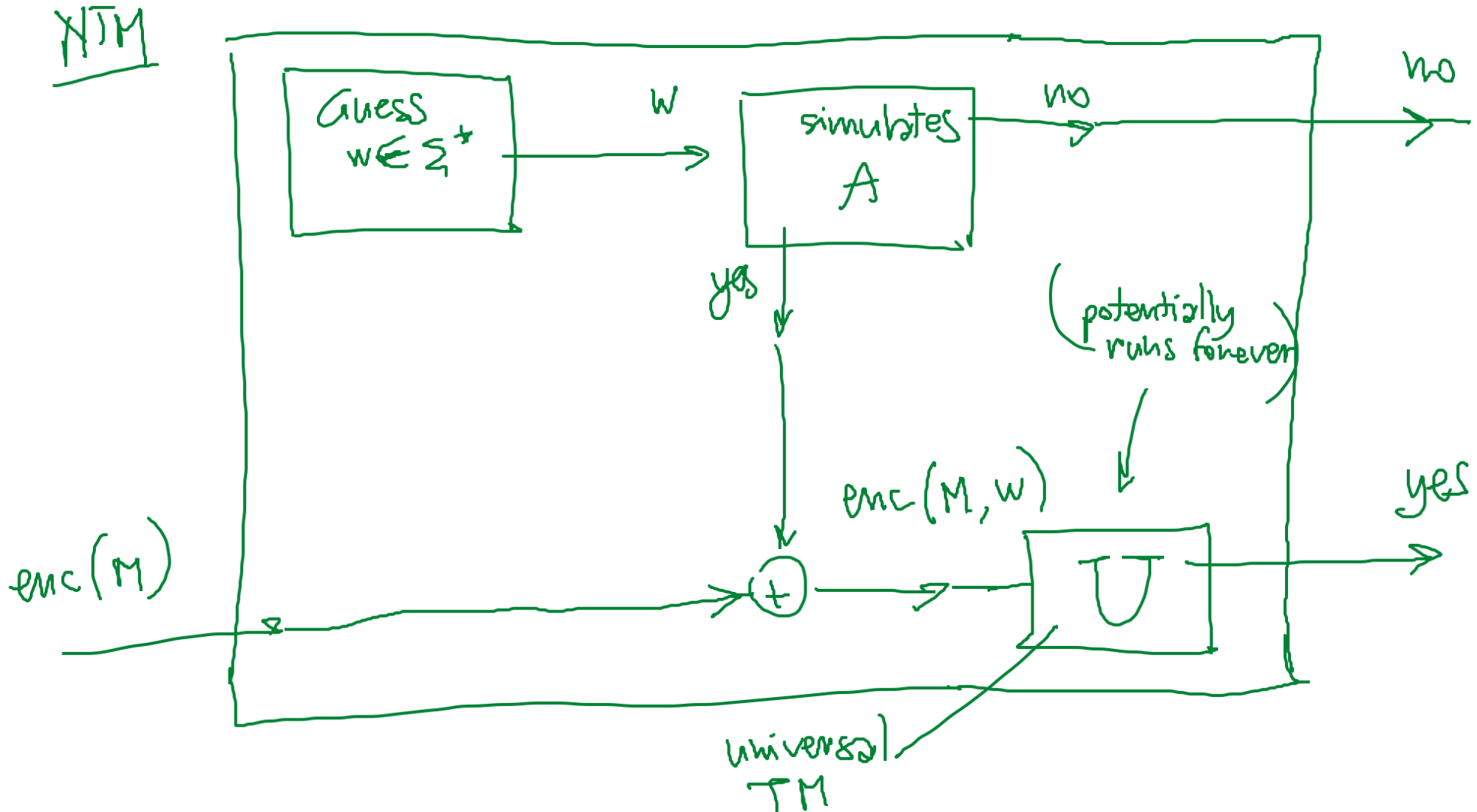
input:  $\text{enc}(M)$

output: yes, if  $L(M) \in \overline{L_\emptyset}$

we can answer no or else  
compute forever otherwise

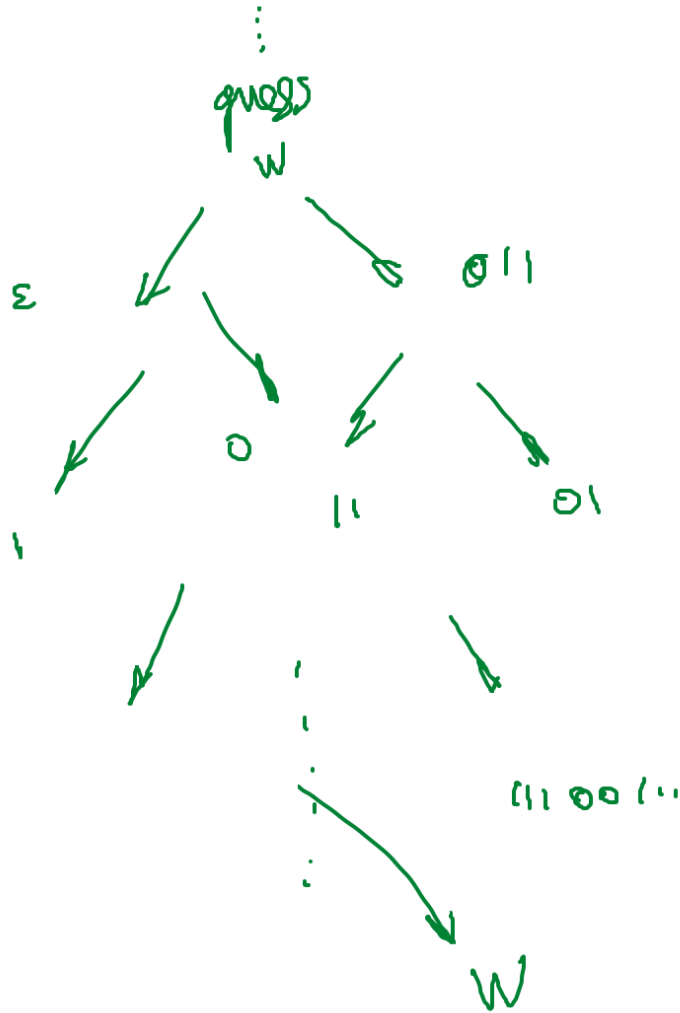
We use DFA  $A$  inside our TM !!

most convenient approach: use NTM ! We later convert into TM



$\text{enc}(M) \rightarrow$

NTM

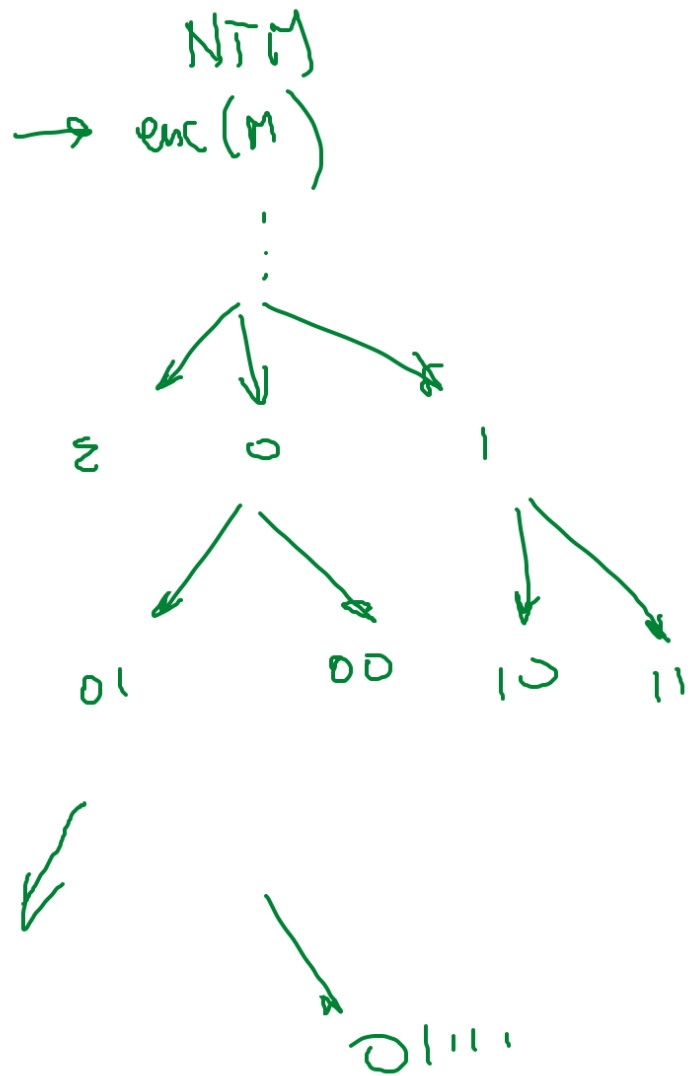


if  $L(M) \cap L(A) \neq \emptyset$

then there exists  $w \in \Sigma^*$

such that  $w \in L(A)$   
and  $w \in L(M)$

then the  $w$ -computation  
for NTM will answer yes



if  $L(M) \cap L(A) = \emptyset$   
then no string  $w$   
belongs to both  $L(A)$   
and  $L(M)$

then NTM will not  
accept in any of  
its  $w$ -computations

We conclude that  $L(\text{NTM}) = \overline{L_\emptyset}$

as desired. Next convert NTM

into TM  $M$ , and we have

$L(M) = \overline{L_\emptyset}$ ; then  $\overline{L_\emptyset} = L_{\overline{\emptyset}}$  is in RE

Therefore,  $L_\emptyset$  cannot be in RE



## possible mistakes

- if you get (a) wrong (you prove  $L_\emptyset \in \text{REC}$ )  
then (b) will be wrong ( $L_\emptyset \in \text{RE}$ )

- student attempts reduction : you don't have  
knowledge of languages  $L_1$  s.t.  $L \leq_m L_\emptyset$   
and  $L_1 \notin \text{RE}$

you know :  $L_e, L_d$

you will not be able to do  
the reduction (you provide WRONG reduction!)

- correct intuition about switching to  $\overline{L\emptyset}$   
but design wrong NTM

→ remember do convert NTM into TM  
(say something about this!!)

Exercise #2

polynomial time TM

class P ( $\mathcal{P}$ )

class NP ( $\mathcal{NP}$ )

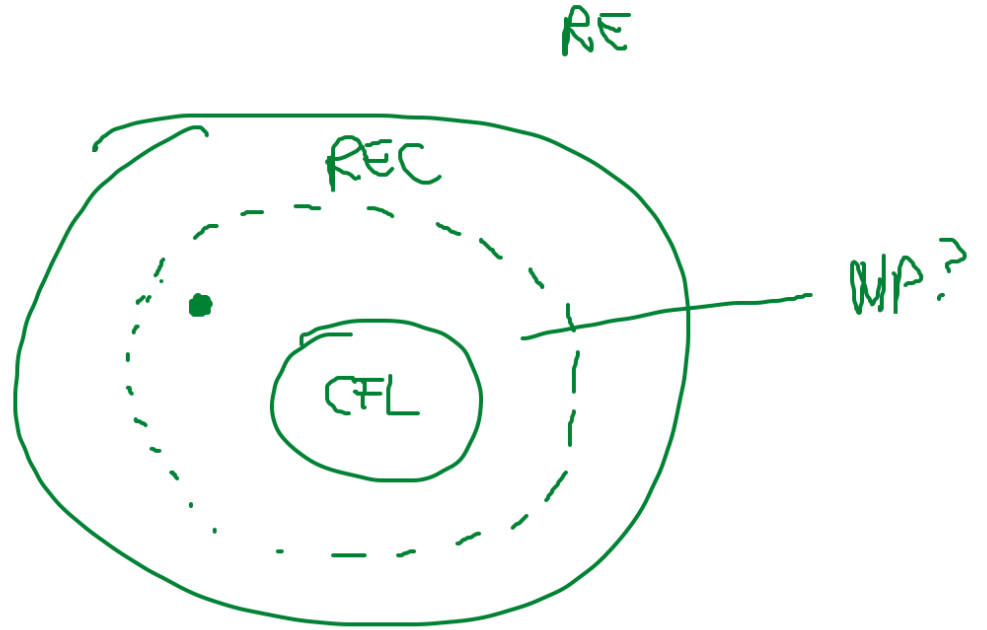
notation from the book

question:

$NP \stackrel{?}{\subseteq} REC$

in other words:

does every language in NP belong to REC??



NP provides yes/no answer in polynomial time  
 → always stops  
 → when converted into TM may take exp time!

proof:

Let  $L_1$  be a language in NP

We prove  $L_1$  is in REC.

if  $L_1$  in NP, there exists NTM  $N$   
such that  $L(N) = L_1$ , and  $N$   
always stops in poly time -

Convert  $N$  into TM (deterministic)  $M$ .  
so that  $L(N) = L(M)$ . This forces  
exponential blow up in computational time.

Still  $M$  will always stop! Therefore

$L(M) = L_1$  is in REC.