

Knowledge Representation and Learning

9. Model counting in First Order Logic

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Model Counting in First Order Logic

- Is it possible to **count the model of a first order formula**?
- Without further specifications the answer is: a formula has 0 models, if it is not satisfiable, and it has an infinite number of models, if it is satisfiable;
- indeed if a formula has one model with domain $\Delta_{\mathcal{I}}$ then it has an infinite set of models with domains isomorphic to $\Delta_{\mathcal{I}}$.
- What if we change our question in:
 - is it possible to count the models of a first order formula, on a domain of a given finite, or countable cardinality?
 - This question makes more sense and can be answered.
 - We consider the special case in which the cardinality of the domain is finite, i.e., a natural number n .

Definition (First order model counting)

The problem of **first order model counting** is the problem of computing the number of Σ -interpretations that satisfies a first order sentence ϕ on a given finite domain of $n > 0$ elements. The problem is denoted as

$$\text{FOMC}(\phi, n)$$

Counting problems in FOL

- the number of undirected graphs with n nodes $\text{FOMC}(UG, n)$

$$UG \triangleq \forall x \forall y (\neg R(x, x) \wedge (R(x, y) \leftrightarrow R(y, x)))$$

- the number of 3-colored undirected graphs with n nodes $\text{FOMC}(UG \wedge 3C, n)$

$$3C \triangleq \forall x y ((C_1(x) \vee C_2(x) \vee C_3(x)) \wedge R(x, y) \rightarrow \bigwedge_{i=1}^3 (\neg C_i(x) \wedge C_i(y)))$$

- the number of graphs with n vertices every pair of nodes are connected with a path with length $\leq k$. $\text{FOMC}(UG \wedge R_{\leq k}, n)$

$$R_{\leq k} \triangleq \forall x \forall y ((\bigvee_{i=1}^k R_i(x, y)) \wedge (R_1(x, y) \leftrightarrow R(x, y)) \wedge \bigwedge_{i=1}^{k-1} (R_{i+1}(x, y) \leftrightarrow \exists z (R_i(x, z) \wedge R(z, y))))$$

$R_i(x, y)$, x is connected with y with a path of length i

Example

Count the possible configuration of a group of n people composed of Ph.D students and professor knowing that every student has a supervisor, every professor supervises at least one student.

$$\text{FOMC}(SP, n)$$

$$SP = \left\{ \begin{array}{l} Prof(x) \vee Stud(x) \\ super(x, y) \rightarrow Stud(x) \wedge Prof(y) \\ Stud(x) \rightarrow \exists y (Prof(y) \wedge super(x, y)) \end{array} \right\}$$

First order model counting

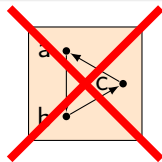
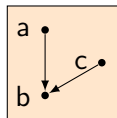
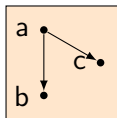
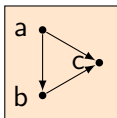
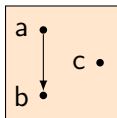
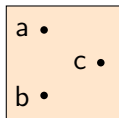
Example

Consider the definition of **partial order**. A binary relation, R , on a set A is a strict partial order if

- $(a, b) \in R$ and $(b, c) \in R$ implies $(a, c) \in R$
- if $(a, b) \in R$ then $(b, a) \notin R$

To determine how many partial orders can be defined on a set of n elements we can solve the problem:

$$\text{FOMC} \left(\begin{array}{l} \forall x, y, z. (R(x, y) \wedge R(y, z) \rightarrow R(x, z)) \\ \wedge \forall x, y. (R(x, y) \rightarrow \neg R(y, x)) \end{array} , n \right)$$



Number of partial orders on a set of n elements

n	Number of partial orders on a set of n elements
0	1
1	1
2	4
3	29
4	355
5	6942
6	209527
7	9535241
8	642779354
9	63260289423
10	8977053873043
11	1816846038736192
12	519355571065774021
13	207881393656668953041
14	115617051977054267807460
15	88736269118586244492485121
16	93411113411710039565210494095
17	134137950093337880672321868725846
18	261492535743634374805066126901117203

Taken from The On-Line Encyclopedia of Integer Sequences (OEIS)

Example

The number of total relations on a set of n elements can be computed by a formula:

$$\text{FOMC}(\forall x \exists y. R(x, y), n) = (2^n - 1)^n$$

Proof.

- For every node x we can select a non empty subset S of $\{1, \dots, n\}$ such that $R(x, y)$ is true if $y \in S$.
- the number of non empty subsets of n elements are $2^n - 1$.
- since there are n nodes. we have $(2^n - 1)^n$ possibilities.



First order model counting - systematic solution

- in all the previous example we use different methodologies to count the models;
- the strategies that we as humans use cannot be easily implemented in a algorithms;
- the question is: is there a systematic way to compute $\text{FOMC}(\phi, n)$

First order model counting via grounding

Since we are dealing with finite domains we can reduce first order formulas to equivalent propositional formulas by grounding quantifiers:

Grounding

$Ground(\phi, C)$ for every formula ϕ and set of constants C is defined as follows:

- $Ground(\phi, C) = \phi$ if ϕ does not contain quantifiers;
- $Ground(\forall x.\phi(x), C) = \bigwedge_{c \in C} Ground(\phi(c), C)$
- $Ground(\exists x.\phi(x), C) = \bigvee_{c \in C} Ground(\phi(c), C)$
- $Ground(\phi \circ \psi, C) = Ground(\phi, C) \circ Ground(\psi, C)$ for every connective \circ
- $Ground(\neg\phi, C) = \neg Ground(\phi, C)$

Example

$Ground(\forall x(A(x) \rightarrow \exists y(R(x, y) \wedge B(y))), \{a, b\})$

$$\begin{aligned} &A(a) \rightarrow (R(a, a) \wedge B(a)) \vee (R(a, b) \wedge B(b)) \wedge \\ &A(b) \rightarrow (R(b, a) \wedge B(a)) \vee (R(b, b) \wedge B(b)) \end{aligned}$$

Example

$Ground(\forall x, y.(R(x, y) \rightarrow R(y, x)), C) =$

$$\bigwedge_{c \in C} \bigwedge_{c' \in C} R(c, c') \rightarrow R(c', c)$$

First order model counting via grounding

Proposition

If ϕ is a first order sentence with no constant and function symbols

$$\text{FOMC}(\phi, n) = \#\text{SAT}(\text{ground}(\phi, \{c_1, \dots, c_n\}))$$

Proof Outline.

For every model \mathcal{I} of ϕ on the domain of $\{1, \dots, n\}$ We define the following bijection:

$$\mathcal{I}_{FOL} \models p(x_1, \dots, x_n)[a_{x_1 \leftarrow d_1, \dots, x_n \leftarrow d_n}] \text{ iff } \mathcal{I}_{PROP}(p(c_{d_1}, \dots, c_{d_n})) = 1$$

One can easily show that this mapping is an isomorphism between the set of FOL interpretations on $\{1, \dots, n\}$ and the propositional assignment

\mathcal{I}_{PROP} and that $\mathcal{I}_{FOL} \models \phi$ if and only if

$$\mathcal{I}_{PROP} \models \text{ground}(\phi, \{c_1, \dots, c_n\})$$



Size of $Ground(\phi, C)$

- If ϕ contains an n ary predicate $R(x_1, \dots, x_k)$ then $Ground(\phi, C)$ contains $|C|^k$ propositional variables.
- For instance $Ground(\forall x(A(x) \rightarrow \exists y.(R(x, y) \wedge B(y))), C)$ contains $2|C| + |C|^2$ propositional variables.
 - $|C|$ variables of the form $A(c)$;
 - $|C|$ variables of the form $B(c)$;
 - $|C|^2$ variables of the form $R(c, c')$.
- with 10 constant we have 120 variables, i.e., 2^{120} models.

fomc via grounding

$$FOMC(\phi, n) = \#SAT(Ground(\phi, \{1, \dots, n\}))$$

The complexity of $fomc(\phi, n)$ grows exponential w.r.t, the size of the domain n . In practice it is hard to go beyond 10 objects even with simple formulas.

- is there a method to compute $FOMC(\phi, n)$ such that the complexity is not exponential in n ?
- if such a method exists we will say that the problem of $FOMC(\phi, n)$ for ϕ is **liftable**.
- A method to achieve liftability is to exploit symmetries of First Order Formula.

The language \mathcal{L}^2

Definition

For every $k \geq 1$ the language \mathcal{L}^k contains all the first order formulas that can be build using only k individual variables.

Example

The following are formulas of \mathcal{L}^2 ;

- $\forall x \exists y (R(x, y) \wedge A(x) \wedge B(y) \wedge \neg x = y)$
- $\exists x (A(x) \wedge \forall y (R(x, y) \rightarrow \exists x R(y, x) \wedge B(x)))$

Example

$\forall x, y, z. R(x, y) \wedge R(y, z) \rightarrow R(x, z)$ is a formula in \mathcal{L}^3 , that formalizes the fact that R is a transitive relation. Such a condition cannot be expressed in \mathcal{L}^2 .

The above example shows that \mathcal{L}^2 is less expressive than \mathcal{L}^3 .

Definition (1-type)

Given a FOL signature Σ a 1-type is a conjunction of maximally consistent set of literals containing exactly one variable and no constants.

Example (1-type)

Let $\Sigma = \{A/1, R/2, S/3\}$ (the notation X/n means that X is a predicate with arity equal to n)

$$A(x) \wedge R(x, x) \wedge S(x, x, x)$$

$$A(x) \wedge R(x, x) \wedge \neg S(x, x, x)$$

$$A(x) \wedge \neg R(x, x) \wedge S(x, x, x)$$

$$A(x) \wedge \neg R(x, x) \wedge \neg S(x, x, x)$$

$$\neg A(x) \wedge R(x, x) \wedge S(x, x, x)$$

$$\neg A(x) \wedge R(x, x) \wedge \neg S(x, x, x)$$

$$\neg A(x) \wedge \neg R(x, x) \wedge S(x, x, x)$$

$$\neg A(x) \wedge \neg R(x, x) \wedge \neg S(x, x, x)$$

Proposition

If Σ contains n predicates there are 2^n 1-types.

We use natural number $1(x), 2(x), \dots, 2^n(x)$ to denote the 1-types.

Definition (2-table)

Given a FOL signature Σ a *2-table* is the conjunction of a maximally consistent set of literals containing exactly two distinct variables x, y and no constants and the literal $x \neq y$.

Example (2-table)

Let $\Sigma = \{A/1, R/2\}$

$$R(x, y) \wedge R(y, x) \wedge x \neq y$$

$$R(x, y) \wedge \neg R(y, x) \wedge x \neq y$$

$$\neg R(x, y) \wedge R(y, x) \wedge x \neq y$$

$$\neg R(x, y) \wedge \neg R(y, x) \wedge x \neq y$$

Proposition

if Σ contains n_i predicates with arity equal to i , then there are $2^{\sum_i n_i(2^i - 2)}$

We use $1(x, y), 2(x, y), \dots$ to denote 2-table.

Definition (2-type)

Given a FOL signature Σ a 2-type is the conjunction of a maximally consistent set of literals containing at most two distinct variables x, y and no constants and the literal $x \neq y$.

Proposition

A 2-type is the conjunction of two 1-types $i(x)$ and $j(y)$ and a 2-table $l(x, y)$.

Example

$\Sigma = \{R/2\}$

$$R(x, x) \wedge R(y, y) \wedge R(x, y) \wedge R(y, x) \wedge x \neq y$$

$$\neg R(x, x) \wedge R(y, y) \wedge R(x, y) \wedge R(y, x) \wedge x \neq y$$

$$R(x, x) \wedge R(y, y) \wedge \neg R(x, y) \wedge R(y, x) \wedge x \neq y$$

...

The two type $i(x) \wedge j(y) \wedge l(x, y)$ is denoted by $ijl(x, y)$.

Realization of types and tables

Given a Σ structure, we say that

- a constant c *realizes* a 1-type i if $\mathcal{I} \models i(c)$
- a pair of constants (c, d) *realizes* a 2-table $l(x, y)$ if $\mathcal{I} \models l(a, b)$
- a pair of constants (c, d) *realizes* a 2-type $ijl(x, y)$ if $\mathcal{I} \models ijl(x, y)$.

Proposition

- 1 *Every constant realizes a single 1-type;*
- 2 *Every pair of constants realizes a single 2-table;*
- 3 *Every pair of constants realizes a single 2-type*

Example

1-types

$$1(x) \triangleq R(x, x),$$

$$2(x) \triangleq \neg R(x, x),$$

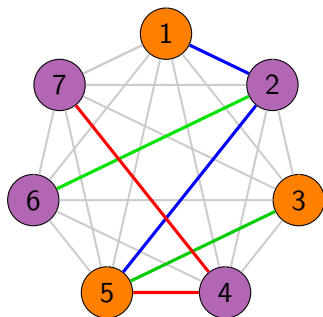
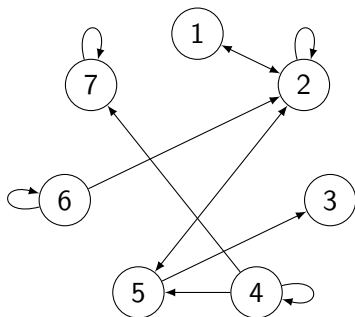
2-tables

$$1(x, y) \triangleq R(x, y) \wedge R(y, x) \wedge x \neq y$$

$$2(x, y) \triangleq R(x, y) \wedge \neg R(y, x) \wedge x \neq y$$

$$3(x, y) \triangleq \neg R(x, y) \wedge R(y, x) \wedge x \neq y$$

$$4(x, y) \triangleq \neg R(x, y) \wedge \neg R(y, x) \wedge x \neq y$$



Proposition

Let $\Sigma = \{R_1, \dots, R_k\}$ be a FOL signature containing only relational symbols. Every Σ -interpretation on the domain $[n]$ is uniquely described by

- 1 partition $[n]$ in u -sets N_1, \dots, N_u one for each one type;
- 2 assign a two table to every ordered pair $c < d \in N_i$;
- 3 assign a two table to every ordered pair $c \in N_i$ and $d \in N_j$ with $i < j$.

Cardinality vectors (k, h)

- for every interpretation \mathcal{I} we define the vector $\mathbf{k} = (k_1, \dots, k_u)$ such that k_i is the number of elements of \mathcal{I} that realizes the i -th 1-type.
- for every $i \leq j$ we define the vector of integers $\mathbf{h}^{ij} = (h_1^{ij}, \dots, h_b^{ij})$, such that h_l^{ij} contains a pair that realizes the 2-type ijl .

Example

1-types

$$1(x) \triangleq R(x, x),$$

$$2(x) \triangleq \neg R(x, x),$$

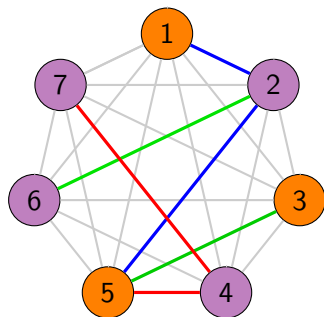
2-tables

$$1(x, y) \triangleq R(x, y) \wedge R(y, x) \wedge x \neq y$$

$$2(x, y) \triangleq R(x, y) \wedge \neg R(y, x) \wedge x \neq y$$

$$3(x, y) \triangleq \neg R(x, y) \wedge R(y, x) \wedge x \neq y$$

$$4(x, y) \triangleq \neg R(x, y) \wedge \neg R(y, x) \wedge x \neq y$$



$$k = (4, 3)$$

$$h^{11} = (0, 1, 1, 4)$$

$$h^{12} = (2, 1, 0, 9)$$

$$h^{11} = (0, 0, 1, 2)$$

Facts about cardinality vectors

- $\sum \mathbf{k} = \sum_{i=1}^u k_i = n$
- $\sum \mathbf{h} = \sum_{i < j} \sum_{l=1}^b k_l^{ij} = \frac{n(n-1)}{2}$
- $\sum \mathbf{h}^{ii} = \sum_l h_l^{ii} = \frac{k_i(k_i-1)}{2}$
- $\sum \mathbf{h}^{ij} = \sum_l h_l^{ij} = k_i \cdot k_j$ (if $i \neq j$)

for every cardinality vector (\mathbf{k}, \mathbf{h}) there are

$$\binom{n}{\mathbf{k}} \prod_i \binom{\frac{k_i(k_i-1)}{2}}{\mathbf{h}^{ii}} \prod_{i < j} \binom{k_i k_j}{\mathbf{h}^{ij}}$$

distinct interpretations that have the cardinality vector (\mathbf{k}, \mathbf{h}) where for every positive integers a, b_1, \dots, b_m with $\sum_i b_i = a$

$$\binom{a}{b_1, \dots, b_m} = \frac{a!}{b_1! \cdot b_2! \cdot \dots \cdot b_m!}$$

Definition

a 2-type $ijl(x, y)$ is consistent w.r.t. a universal formula ϕ if

$$\phi(x, x) \wedge \phi(x, y) \wedge \phi(y, x) \wedge \phi(y, y) \wedge ijl(x, y)$$

is satisfiable. $2t(\phi)$ denotes The set of 2-types consistent with $\forall xy\phi(x, y)$.

Proposition

A pure universal formula $\forall x\forall y\phi(x, y)$ in the language FO^2 can be rewritten in the following form:

$$\forall x\forall y \left(x \neq y \rightarrow \bigvee_{i \leq j} \bigvee_{iji \in 2t(\phi)} ijl(x, y) \right) \quad (1)$$

The formula (1) is equivalent to the original one on models that contains 2 or more elements.

A simple method for finding $2t(\phi)$ is to find the truth assignments that satisfies $\phi(x, x) \wedge \phi(x, y) \wedge \phi(y, x) \wedge \phi(y, y)$

Example

$\forall x \forall y (R(x, x) \wedge R(x, y) \rightarrow \neg R(y, x))$ is equivalent to

$$\begin{aligned} \forall x \forall y (x \neq y \rightarrow & \neg R(x, x) \wedge \neg R(y, y) \wedge R(x, y) \wedge R(y, x) \wedge x \neq y \wedge \\ & \neg R(x, x) \wedge \neg R(y, y) \wedge \neg R(x, y) \wedge R(y, x) \wedge x \neq y \wedge \\ & \neg R(x, x) \wedge \neg R(y, y) \wedge R(x, y) \wedge \neg R(y, x) \wedge x \neq y \wedge \\ & \neg R(x, x) \wedge \neg R(y, y) \wedge \neg R(x, y) \wedge \neg R(y, x) \wedge x \neq y) \end{aligned}$$

Using the notation for 1- and 2-types we have that the initial formula is equivalent to

$$\forall x \forall y (x \neq y \rightarrow 221(x, y) \vee 222(x, y) \vee 223(x, y) \vee 224(x, y))$$

Example

$\forall x \forall y (R(x, x) \wedge R(x, y) \rightarrow R(y, y))$ is equivalent to:

$$\begin{aligned} \forall x \forall y (x \neq y \rightarrow & 111(x, y) \vee 112(x, y) \vee 113(x, y) \vee 114(x, y) \vee \\ & 123(x, y) \vee 114(x, y) \\ & 221(x, y) \vee 222(x, y) \vee 223(x, y) \vee 224(x, y) \vee \end{aligned}$$

We have that the original formula $\forall x \forall y \phi(x, y)$ is equivalent to

$$\Phi = \forall x \forall y \left(x \neq y \rightarrow \bigvee_{i \leq j} \bigvee_{ijl \in 2t(\phi)} ij l(x, y) \right)$$

implies that if $n \geq 2$

$$\text{Ground}(\Phi, [n]) \leftrightarrow \bigwedge_{c \neq d=1}^n \left(\bigvee_{i \leq j} \bigvee_{ijl \in 2t(\phi)} ij l(c, d) \right)$$

This implies that

$$\mathcal{I} \models \forall xy \phi(x, y) \text{ iff } h_i^{ij} \neq 0 \Rightarrow ij l \in 2t(\phi)$$

Furthermore the condition " $h_i^{ij} \neq 0 \Rightarrow ij l \in 2t(\phi)$ " can be represented with

$$\mathbb{1}_{ijl \in 2t(\phi)}^{h_i^{ij}} = \begin{cases} 1 & \text{if } h_i^{ij} = 0 \text{ or } n_{ij} \neq 0 \\ 0 & \text{Otherwise} \end{cases}$$

where $\mathbb{1}_{ijl \in 2t(\phi)}$ is the indicator function for the set $2t(\phi)$.

Putting everything together

$$\begin{aligned}\text{FOMC}(\forall x, y. \phi(x, y), n) &= \sum_{\mathbf{k}, \mathbf{h}} \binom{n}{\mathbf{k}} \prod_{i \leq j=1}^u \binom{\mathbf{k}(i, j)}{\mathbf{h}^{ij}} \prod_l \mathbb{1}_{ijl \in 2t(\phi)}^{h_l^{ij}} \\ &= \sum_{\mathbf{k}} \binom{n}{\mathbf{k}} \prod_{i \leq j=1}^u \sum_{\mathbf{k}} \binom{\mathbf{k}(i, j)}{\mathbf{h}^{ij}} \prod_l \mathbb{1}_{ijl \in 2t(\phi)}^{h_l^{ij}} \\ &= \sum_{\mathbf{k}, \mathbf{h}} \binom{n}{\mathbf{k}} \prod_{i \leq j=1}^u \left(\sum_{l=1}^b \mathbb{1}_{ijl \in 2t(\phi)} \right)^{\mathbf{k}(i, j)} \\ &= \sum_{\mathbf{k}, \mathbf{h}} \binom{n}{\mathbf{k}} \prod_{i \leq j=1}^u n_{ij}^{\mathbf{k}(i, j)}\end{aligned}$$

with

$$n_{ij} = \sum_{l=1}^b \mathbb{1}_{ijl \in 2t(\phi)}$$

Theorem

Let $\phi(x, y)$ a quantifier free formula that contains p predicate symbols and the two free variables x and y and no constant and functional symbols;

$$\text{FOMC}(\forall x, y. \phi(x, y), n) = \sum_{\mathbf{k}} \binom{n}{\mathbf{k}} \prod_{1 \leq i \leq u} n_{ij}^{k(i,j)}$$

- $\mathbf{k} = (k_1, k_1, \dots, k_u)$, s.t., $\sum_{i=1}^u k_i = n$;
- $n_{ij} = \#\text{SAT}(\text{Ground}(\phi_0(x, y) \wedge i(x) \wedge j(y)), [2])$
- $k(i, j) = \begin{cases} \frac{k_i \cdot (k_j - 1)}{2} & \text{if } i = j \\ k_i \cdot k_j & \text{Otherwise} \end{cases}$

- Notice that to compute $\sum_{\mathbf{k}} \binom{n}{\mathbf{k}} \prod_{0 \leq i \leq 2^p - 1} n_{ij}^{k(i,j)}$ you have to do a number of operations which are exponential in p but polynomial in n , since the only impact of n is in the computation of the binomial coefficient and the summation.
- it is known that computing the binomial coefficient $\binom{n}{k_1, \dots, k_h}$ is polynomial in n .

Using the previous formula compute $\text{FOMC}(\Phi, n)$ where Φ is the following fomrula:

- 1 $\forall x \forall y (R(x, y) \rightarrow R(y, x));$
- 2 $\forall x \forall y (R(x, y) \rightarrow \neg R(y, x));$
- 3 $\forall x \forall y (R(x, y) \rightarrow \neg R(x, x));$
- 4 $\forall x \forall y (R(x, x) \rightarrow (R(x, y) \rightarrow R(y, z)));$
- 5 $\forall x \forall y (S(x) \wedge F(x, y) \rightarrow S(y)).$

A cardinality constraint is an expression that imposes constraints on the cardinality of the interpretation of predicates

Example (Cardinality constraint)

- $|A| = 3$ states that $\mathcal{I}(A)$ contains exactly two elements;
- $|R| = 2$ states that $\mathcal{I}(R)$ contains more than 3 pairs of elements;
- $|A| > |R|$ states that $|\mathcal{I}(A)| > |\mathcal{I}(R)|$
- $|A| = 2 \rightarrow |B| < |C| \vee |B| < |C|$ states

Satisfaction of cardinality constraints

For every predicate P we can compute $|\mathcal{I}(P)|$ from the cardinality vectors \mathbf{k}, \mathbf{h} of \mathcal{I} as follows:

$$|\mathcal{I}(A)| = \mathbf{k}(A) = \sum_{i=1}^u \mathbb{1}_{A(x) \in i(x)} \cdot k_i \quad A \text{ unary predicate}$$

$$\mathbf{k}(R) = \sum_{i=1}^u \mathbb{1}_{R(x,x) \in i(x)} \cdot k_i \quad R \text{ binary predicate}$$

$$\begin{aligned} |\mathcal{I}(R)| = (\mathbf{k}, \mathbf{h})(R) &= \mathbf{k}(R) + \sum_{i \leq j, l} \mathbb{1}_{R(x,y) \in ij l(x,y)} \cdot h_l^{ij} \\ &+ \sum_{i \leq j, l} \mathbb{1}_{R(y,x) \in ij l(x,y)} \cdot h_l^{ij} \quad R \text{ binary predicate} \end{aligned}$$

If χ is a cardinality constraint then $(\mathbf{k}, \mathbf{h}) \models \chi$ holds if the expression obtained replacing $|A|$ with the value of $\mathbf{k}(A)$ and $|R|$ with the value of $(\mathbf{k}, \mathbf{h})(R)$ is true.

Example

1-types

$$1(x) \triangleq R(x, x),$$

$$2(x) \triangleq \neg R(x, x),$$

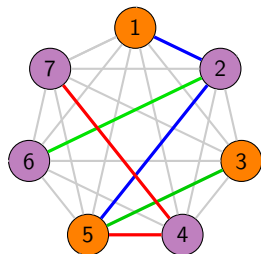
2-tables

$$1(x, y) \triangleq R(x, y) \wedge R(y, x) \wedge x \neq y$$

$$2(x, y) \triangleq R(x, y) \wedge \neg R(y, x) \wedge x \neq y$$

$$3(x, y) \triangleq \neg R(x, y) \wedge R(y, x) \wedge x \neq y$$

$$4(x, y) \triangleq \neg R(x, y) \wedge \neg R(y, x) \wedge x \neq y$$



$$k = (4, 3)$$

$$k(R) = 4$$

$$h^{11} = (0, 1, 1, 4)$$

$$h^{12} = (2, 1, 0, 9)$$

$$h^{11} = (0, 0, 1, 2)$$

$$(k, h)(R) = 4 + 4 + 4 = 12$$

Dealing with Existential Quantifiers

- how can we count the models for formulas that contains also existential quantifiers and two variables?
- **Scott's reduction**: Transform it in the form:

$$\Phi = \forall x \forall y. \phi(x, y) \wedge \bigwedge_{i=1}^m \forall x \exists y. \psi_i(x, y)$$

Where $\phi(x, y)$ and $\psi_i(x, y)$ do not contain quantifiers.

Scott's Normal reduction

To transform a formula ϕ in Scott's normal form you have to apply the following transformations until ϕ does not contain subformulas of the form $Qy.\alpha(x, y)$, $Q \in \{\forall, \exists\}$

- Replace $Qy.\alpha(x, y)$ with a new predicate $A(x)$ and *define* $A(x)$ as $Qy.\alpha(x, y)$

$$\phi \implies \phi[Qy.\alpha(x, y)/A(x)] \wedge \forall x.(A(x) \leftrightarrow Qy.\alpha(x, y))$$

- Transform $\forall x.(A(x) \leftrightarrow Qy.\alpha(x, y))$ in the $\forall x\forall y \dots$ or $\forall x\exists y \dots$ form

$$\forall x(A(x) \leftrightarrow Qy.\alpha(x, y)) \implies \forall x(A(x) \rightarrow Qy.\alpha(x, y)) \wedge \forall x(\neg A(x) \rightarrow \bar{Q}y.\neg\alpha(x, y))$$

$$\forall x.(A(x) \rightarrow \forall y.\alpha(x, y)) \implies \forall x, y.(A(x) \rightarrow \alpha(x, y))$$

$$\forall x.(\neg A(x) \rightarrow \exists y.\neg\alpha(x, y)) \implies \forall x\exists y.(\neg A(x) \rightarrow \neg\alpha(x, y))$$

$$\forall x.(A(x) \rightarrow \exists y.\alpha(x, y)) \implies \forall x\exists y(A(x) \rightarrow \alpha(x, y))$$

$$\forall x.(\neg A(x) \rightarrow \forall y.\neg\alpha(x, y)) \implies \forall x\forall y(\neg A(x) \rightarrow \neg\alpha(x, y))$$

Fomc of formulas with Existential Quantifiers

$$\Phi = \forall x \forall y. \phi(x, y) \wedge \bigwedge_{i=1}^m \forall x \exists y. \psi_i(x, y)$$

Fomc of formulas with Existential Quantifiers

Let us consider the simple case with $m = 1$

$$\Phi = \forall x \forall y. \phi(x, y) \wedge \forall x \exists y. \psi(x, y)$$

- Introduce a new predicate $P(x)$ and the additional formula;

$$\phi_P = \forall x \forall y. (P(x) \rightarrow \neg \psi(x, y))$$

- ϕ_P implies that for each element a if $P(a)$ is true, then $\forall y. \neg \psi(a, y)$ is also true.
- Therefore if $|P| = k$ then there are at least k elements a_1, \dots, a_k for which $\forall x. \neg \psi(a_i, x)$

- Let M_i be the set of models of $\phi \wedge \phi_P$ such that there are exactly i elements that satisfies $\forall y \neg \psi(x, y)$
- Let M_{ij} the subset of M_i such that $|P| = j$. Clearly M_{ij} if $j > i$ M_{ij} is empty;
- Therefore $M_i = \cup_{0 \leq j \leq i} M_{ij}$
- we have that:

$$\begin{aligned} \text{FOMC}(\Phi, n) &= |M_0| = |M_{00}| \\ &= \text{FOMC}(\forall x \forall y \phi(x, y) \wedge \phi_P(x, y)) - \left| \bigcup_{1 \leq j \leq i=1}^n M_{ij} \right| \end{aligned}$$

- We apply the inclusion exclusion principle that states:

$$\left| \bigcup_{i=1}^n A_i \right| = \sum_{1 \leq j_1 < \dots < j_k \leq n} (-1)^{k+1} |A_{j_1} \cap A_{j_2} \dots \cap A_{j_k}| \quad (\text{IEP})$$

- obtaining

$$\left| \bigcup_{1 \leq j \leq i=1}^n M_{ij} \right| = \left| \bigcup_{i=1}^n \left(\bigcup_{j=i}^n M_{ij} \right) \right| \stackrel{\text{IEP}}{=} \sum_{j=1}^n (-1)^j \left| \bigcup_{j=i}^n M_{ij} \right|$$

First order model counting in \mathcal{L}^2

$$\text{FOMC}(\forall x, y. \phi(x, y) \wedge \forall x \exists y \psi(x, y), n) = \sum_{\mathbf{k}} \binom{n}{\mathbf{k}} (-1)^{\mathbf{k}(P)} \prod_{0 \leq i < j \leq 2^{p+1}-1} n_{ij}^{k(i,j)}$$

where $\mathbf{k}(P) = \sum_{i=1, i_b=1}^{2^{p+1}-1} k_i$ where b is the index of the predicate P .

Generalizing to m existentially quantifiers, we introduce a predicate P_i for every formula $\forall x \exists y \psi_i(x, y)$ and the corresponding formula ϕ_{P_i} .

$$\text{FOMC} \left(\forall x, y. \phi(x, y) \wedge \bigwedge_{i=1}^m \forall x \exists y \psi_i(x, y), n \right) = \sum_{\mathbf{k}} \binom{n}{\mathbf{k}} (-1)^{\sum_{i=1}^m \mathbf{k}(P_i)} \prod_{0 \leq i < j \leq 2^{p+m}-1} n_{ij}^{k(i,j)}$$

Using the previous formula compute $\text{FOMC}(\Phi, n)$ where Φ is the following fomrula:

- $\forall x. \exists y. R(x, y)$
- $\forall x. \exists y. (R(x, y) \vee R(y, x))$
- $\forall x, y. (R(x, y) \rightarrow R(y, x)) \wedge \forall x. \neg R(x, x) \wedge \forall x. \exists y. R(x, y)$

Solution of the first exercise

$$\forall x, y P(x) \rightarrow \neg R(x, y)$$

$P(a)$	$R(a, a)$	$P(b)$	$R(b, b)$	n_{ij}
1	1	1	1	$n_{11,11} = n_{3,3} = 0$
1	0	1	1	$n_{10,11} = n_{2,3} = 0$
1	0	1	0	$n_{10,10} = n_{2,2} = 1$
0	1	1	1	$n_{01,11} = n_{1,3} = 0$
0	1	1	0	$n_{01,10} = n_{1,2} = 2$
0	1	0	1	$n_{01,01} = n_{1,1} = 4$
0	0	1	1	$n_{00,11} = n_{0,3} = 0$
0	0	1	0	$n_{00,10} = n_{0,2} = 2$
0	0	0	1	$n_{00,01} = n_{0,1} = 4$
0	0	0	0	$n_{00,00} = n_{0,0} = 4$

$$\begin{aligned}
 & \sum_{k_0, k_1, k_2} \binom{n}{k_0, k_1, k_2} (-1)^{k_2} n_{00}^{\frac{k_0(k_0-1)}{2}} n_{01}^{k_1} n_{02}^{k_2} n_{11}^{\frac{k_1(k_1-1)}{2}} n_{12}^{k_2} n_{22}^{\frac{k_2(k_2-1)}{2}} \\
 &= \sum_{k_0, k_1, k_2} \binom{n}{k_0, k_1, k_2} (-1)^{k_2} 4^{\frac{k_0(k_0-1)}{2}} 4^{k_0 k_1} 2^{k_0 k_2} 4^{\frac{k_1(k_1-1)}{2}} 2^{k_1 k_2} 1^{\frac{k_2(k_2-1)}{2}} \\
 &= \sum_{k_0, k_1, k_2} \binom{n}{k_0, k_1, k_2} (-1)^{k_2} 2^{k_0(k_0-1) + 2k_0 k_1 + k_0 k_2 + k_1(k_1-1) + k_1 k_2} \\
 &= \sum_{k_0, k_1, k_2} \binom{n}{k_0, k_1, k_2} (-1)^{k_2} 2^{(k_0+k_1)(n-1)} \sum_{k_2} \binom{n}{k_2} (-1)^{k_2} \sum_{k_0, k_1} \binom{n-k_2}{k_0, k_1} 2^{(k_0+k_1)(n-1)} \\
 &= \sum_{k_2} \binom{n}{k_2} (-1)^{k_2} (2^n)^{n-k_2} = (2^n - 1)^n
 \end{aligned}$$

First order weighted model counting

- as in propositional logic interpretations are associated to a weight;
- In propositional logic each proposition is associated with a weight;
- In First Order Logic weights are associated to predicates;
- This type of weight function is called **symmetric weight functions**;
- it is not the most general, but in this class we will limit to symmetric weight.

Symmetric weight function

Definition

A symmetric weight function for a first order language with signature Σ is specified by a pair of functions w and \bar{w} that associate a real number $w(P)$ and $\bar{w}(P)$ to every n -ary predicate $P \in \Sigma$.

$$\begin{aligned}w(P(a_1)) &= w(P(a_2)) = \dots = w(P(a_n)) = w(P) \\w(\neg P(a_1)) &= w(\neg P(a_2)) = \dots = w(\neg P(a_n)) = \bar{w}(P)\end{aligned}$$

For every interpretation \mathcal{I} on a finite domain $\Delta_{\mathcal{I}}$

$$W(\mathcal{I}) = \prod_{P \in \Sigma} w(P)^{|P|_{\mathcal{I}}} \cdot \bar{w}(P)^{|\Delta_{\mathcal{I}}|^{\text{arity}(P)} - |P|_{\mathcal{I}}}$$

$$\text{WFOMC}(\phi, w, \bar{w}, n) = \sum_{\substack{\mathcal{I} \models \phi \\ \Delta_{\mathcal{I}} = \{1, \dots, n\}}} W(\mathcal{I})$$

First order weighted model counting in \mathcal{L}^2

Problem: Is it possible to adapt the formula for $\text{FOMC}\phi, n$ for ϕ in \mathcal{L}^2 to compute $\text{WFOMC}(\phi, w, \bar{w}, n)$?

Answer: Yes! Replace n_{ij} with w_{ij}

$$w_{ij} = \text{WMC}(\text{Ground}(\phi_0(x, y) \wedge \alpha_i(x) \wedge \alpha_j(y), \{a, b\}))$$

$$\alpha_i(x) = \bigwedge_{\substack{b=1 \\ i_b=0}}^p \neg A_b(x) \wedge \bigwedge_{\substack{b=1 \\ i_b=1}}^p A_b(x)$$

•

$$\text{WFOMC}(\forall x, y. \phi_0(x, y), w, \bar{w}, n) = \sum_{\mathbf{k}} \binom{n}{\mathbf{k}} \prod_{0 \leq i \leq 2^p - 1} w_{ij}^{k(i,j)}$$

