

Resolution and Unification

Using Prover9 and Maze4

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<http://www.cs.unm.edu/mccune/prover9/>



Prover9 and Mace4

- **Prover9** is an **automated theorem prover** for first-order and equational logic,
- **Mace4** searches for finite models and counterexamples

Prover9 GUI

The screenshot shows the Prover9/Mace4 GUI interface. The window title is "Prover9/Mace4". The menu bar includes "File", "Preferences", "View", and "Help". There are several tabs: "Language Options", "Formulas", "Prover9 Options", "Mace4 Options", and "Additional Input".

Assumptions:

```
% McKenzie's absorption 4-basis (self-dual, independent) for  
% Lattice Theory (LT).  
  
% Prover9 should produce a proof in a few seconds.  
  
x v (y ^ (x ^ z)) = x      # label(McKenzie_1).  
x ^ (y v (x v z)) = x      # label(McKenzie_2).  
((y ^ x) v (x ^ z)) v x = x # label(McKenzie_3).  
((y v x) ^ (x v z)) ^ x = x # label(McKenzie_4).
```

Goals:

```
(x ^ y) ^ z = x ^ (y ^ z)  # label(assoc_meet).
```

Proof Search:

Prover9

Time Limit: 60 seconds.

Start Resume Kill

State: Paused

Info Show/Save

Model/Counterexample Search:

Mace4

Time Limit: 60 seconds.

Start Resume Kill

State: Paused

Info Show/Save

Prover9 GUI

The screenshot displays the Prover9 GUI window titled "Prover9/Mace4". The interface is organized into several panels:

- Language Options:** Includes tabs for "Language Options", "Formulas", "Prover9 Options", "Mace4 Options", and "Additional Input".
- Prover9 Section:**
 - Contains a logo and a list of option groups: "Basic Options", "All Options" (selected), "Meta Options", "Term Ordering", "Limits", "Search Prep", "Goals/Denials", "Select Given", "Inference Rules" (selected), "Rewriting", "Weighting", "Process Inferred", "Input/Output", "Hints", and "Other Options".
 - A "Reset All to Defaults" button is located at the bottom left.
- Inference Rules Section:**
 - Ordinary Rules:** A list of checkboxes for "binary_resolution", "neg_binary_resolution", "hyper_resolution", "pos_hyper_resolution", "neg_hyper_resolution", "ur_resolution", "pos_ur_resolution", "neg_ur_resolution", and "paramodulation".
 - Other Rules:** Includes a "new_constants" spinner set to 0 and a "factor" checkbox.
 - General Restrictions:** Includes a "literal_selection" dropdown set to "max_negative".
 - Resolution Restrictions:** Includes checkboxes for "ordered_res" (checked), "check_res_instances", and "initial_nuclei", along with a "ur_nucleus_limit" spinner set to -1.
 - Paramodulation Restrictions:** Includes checkboxes for "ordered_para" (checked), "check_para_instances", "para_from_vars" (checked), "para_units_only", and a "para_lit_limit" spinner set to -1.
 - A "Reset These to Defaults" button is centered below these sections.
- Proof Search Section:**
 - Contains a "Show Current Input" button.
 - Includes a "Prover9" logo and a "Time Limit" spinner set to 60 seconds.
 - Buttons for "Start", "Resume", and "Kill" are present.
 - A progress bar is shown below the buttons.
 - The "State" is indicated as "Paused".
 - "Info" and "Show/Save" buttons are at the bottom.
- Model/Counterexample Search Section:**
 - Contains a "Mace4" logo and a "Time Limit" spinner set to 60 seconds.
 - Buttons for "Start", "Resume", and "Kill" are present.
 - A progress bar is shown below the buttons.
 - The "State" is indicated as "Paused".
 - "Info" and "Show/Save" buttons are at the bottom.

Prover9's Proof Method

- The primary mode of inference used by Prover9 is **resolution**. It repeatedly makes resolution inferences with the **aim of detecting inconsistency**
- Prover9 will first do some preprocessing on the input file to **convert it into the form** it uses for inferencing.
 - 1 First it **negates the formula given as a goal**
 - 2 It then **translates all formulae into clausal form**.
 - 3 In some cases it will do some further pre-processing, (but you do not need to worry about this)
- Then it will **compute inferences** and by default write these standard output. Unless the input is very simple it will often generate a large number of inferences.
- If it **detects an inconsistency** it will stop and print out a proof consisting of the sequence of resolution rules that generated the inconsistency.
- It will also print out various statistics associated with the proof.

Simple example

Example (Reasoning in proposition logic)

Check if $p \wedge s, p \supset q, q \supset r \models r \vee t$ holds

Prover9 simple input file

```
formulas(assumptions).  
p & s.           % "&" symbol is for conjunction "and"  
p -> q.         % "->" symbol is for implication "implies"  
q -> r.  
end_of_list.  
  
formulas(goals).  
r | t.          % "|" symbol is for disjunction "or"  
end_of_list.
```

Output of Prover9

```
===== prooftrans =====
Prover9 (32) version Dec-2007, Dec 2007.
Process 71916 was started by luciano on coccobill.local,
Fri Nov 22 11:36:46 2013
The command was "/Users/luciano/Applications/Prover9-Mace4-v05B.app/Contents/Resources/bin-mac-intel/prov
===== end of head =====

===== end of input =====

===== PROOF =====

% ----- Comments from original proof -----
% Proof 1 at 0.00 (+ 0.00) seconds.
% Length of proof is 11.
% Level of proof is 3.
% Maximum clause weight is 2.
% Given clauses 5.

1 p & s # label(non_clause). [assumption].
2 p -> q # label(non_clause). [assumption].
3 q -> r # label(non_clause). [assumption].
4 r | t # label(non_clause) # label(goal). [goal].
5 p. [clausify(1)].
7 -p | q. [clausify(2)].
8 -q | r. [clausify(3)].
9 -r. [deny(4)].
11 q. [ur(7,a,5,a)].
12 -q. [resolve(9,a,8,b)].
13 $F. [resolve(12,a,11,a)].

===== end of proof =====
```

A slightly more complex example using quantifiers

Example (Transitivity of subset relation)

Show that the containment relation between sets is transitive. I.e.,
For any set A , B , and C

$$A \subseteq B \wedge B \subseteq C \rightarrow A \subseteq C$$

Where $A \subseteq B$ is defined as $\forall x(x \in A \rightarrow x \in B)$

Prover9 input file

```
formulas(assumptions).  
all x all y (subset(x,y) <-> (all z (member(z,x) -> member(z,y)))).  
end_of_list.
```

```
formulas(goals).  
all x all y all z (subset(x,y) & subset(y,z) -> subset(x,z)).  
end_of_list.
```


Output of Prover9

```
===== prooftrans =====
Prover9 (32) version Dec-2007, Dec 2007.
Process 71873 was started by luciano on coccobill.local,
Fri Nov 22 11:32:23 2013
The command was "/Users/luciano/Applications/Prover9-Mace4-v05B.app/Contents/Resources/bin-mac-intel/prov
===== end of head =====

===== end of input =====

===== PROOF =====

% ----- Comments from original proof -----
% Proof 1 at 0.00 (+ 0.00) seconds.
% Length of proof is 14.
% Level of proof is 4.
% Maximum clause weight is 6.
% Given clauses 6.

1 (all x all y (subset(x,y) <-> (all z (member(z,x) -> member(z,y)))) # label(non_clause). [assumption]
2 (all x all y all z (subset(x,y) & subset(y,z) -> subset(x,z))) # label(non_clause) # label(goal). [goal]
3 subset(x,y) | member(f1(x,y),x). [clausify(1)].
4 -subset(x,y) | -member(z,x) | member(z,y). [clausify(1)].
5 subset(x,y) | -member(f1(x,y),y). [clausify(1)].
6 subset(c1,c2). [deny(2)].
7 subset(c2,c3). [deny(2)].
8 -subset(c1,c3). [deny(2)].
11 -member(x,c1) | member(x,c2). [resolve(6,a,4,a)].
12 -member(x,c2) | member(x,c3). [resolve(7,a,4,a)].
13 member(f1(c1,c3),c1). [resolve(8,a,3,a)].
14 -member(f1(c1,c3),c3). [resolve(8,a,5,a)].
15 member(f1(c1,c3),c2). [resolve(13,a,11,a)].
18 $F. [ur(12,b,14,a),unit_del(a,15)].
```

Problem solving with Propositional Resolution

Six sculptures $\{C, D, E, F, G, H\}$ are to be exhibited in rooms $\{1, 2, 3\}$ of an art gallery.

- 1 Sculptures C and E may not be exhibited in the same room.
 - 2 Sculptures D and G must be exhibited in the same room.
 - 3 If sculptures E and F are exhibited in the same room, no other sculpture may be exhibited in that room.
 - 4 At least one sculpture must be exhibited in each room, and
 - 5 no more than three sculptures may be exhibited in any room.
- 1 If sculpture D is exhibited in room 1 and sculptures E and F are exhibited in room 2, which of the following must be true?
- 1 Sculpture C must be exhibited in room 1.
 - 2 Sculpture H must be exhibited in room 3.
 - 3 Sculpture G must be exhibited in room 1.
 - 4 Sculpture H must be exhibited in room 2.
 - 5 Sculptures C and H must be exhibited in the same room.

Problem solving with Propositional Resolution

Six sculptures $\{C, D, E, F, G, H\}$ are to be exhibited in rooms $\{1, 2, 3\}$ of an art gallery.

$$P = \{Exhibits(X, n) \mid X \in \{C, \dots, H\}, n \in \{1, 2, 3\}\}$$

$$\bigwedge_{\substack{X \in \{C, \dots, H\} \\ n \in \{1, 2, 3\}}} Exhibits(X, n) \equiv \neg Exhibits(X, (n \bmod 3) + 1) \wedge \neg Exhibits(X, (n \bmod 3) + 2)$$

- 1 Sculptures C and E may not be exhibited in the same room.

no formalization = no information

- 2 Sculptures D and G must be exhibited in the same room.

$$\bigwedge_{n \in \{1, 2, 3\}} Exhibits(D, n) \equiv Exhibits(G, n)$$

Problem solving with Propositional Resolution

- ③ If sculptures E and F are exhibited in the same room, no other sculpture may be exhibited in that room.

$$\bigwedge_{n \in \{1,2,3\}} \left(Exhibits(E, n) \wedge Exhibits(F, n) \supset \bigwedge_{X \in \{C, \dots, H\} \setminus \{E, F\}} \neg Exhibits(X, n) \right)$$

- ④ At least one sculpture must be exhibited in each room

$$\bigwedge_{n \in \{1,2,3\}} \bigvee_{X \in \{C, \dots, H\}} Exhibits(X, n)$$

- ⑤ no more than three sculptures may be exhibited in any room.

$$\bigwedge_{n \in \{1,2,3\}} \bigwedge_{\substack{S \subseteq \{C, \dots, H\} \\ |S|=4}} \neg \left(\bigwedge_{X \in S} Exhibits(X, n) \right)$$

Problem solving with Propositional Resolution

- ① If sculpture D is exhibited in room 1 and sculptures E and F are exhibited in room 2, which of the following must be true?

$$\text{Exhibites}(D, 1) \wedge \text{Exhibites}(E, 2) \wedge \text{Exhibites}(F, 3) \supset \phi$$

- ① Sculpture C must be exhibited in room 1. $\phi = \text{Exhibits}(C, 1)$
- ② Sculpture H must be exhibited in room 3. $\phi = \text{Exhibits}(B, 3)$
- ③ Sculpture G must be exhibited in room 1. $\phi = \text{Exhibits}(G, 1)$
- ④ Sculpture H must be exhibited in room 2. $\phi = \text{Exhibits}(H, 2)$
- ⑤ Sculptures C and H must be exhibited in the same room.

$$\phi = \bigvee_{n \in \{1,2,3\}} \text{Exhibits}(C, n) \equiv \text{Exhibits}(H, n)$$

Problem solving with Propositional Resolution

$$\text{CNF} \left(\bigwedge_{\substack{X \in \{C, \dots, H\} \\ n \in \{1, 2, 3\}}} \text{Exhibits}(X, n) \equiv \left(\begin{array}{l} \neg \text{Exhibits}(X, (n \bmod 3) + 1) \wedge \\ \neg \text{Exhibits}(X, (n \bmod 3) + 2) \end{array} \right) \right) =$$

$$\left\{ \begin{array}{l} \{ \neg \text{Exhibits}(X, n), \neg \text{Exhibits}(X, m) \}, \\ \{ \text{Exhibits}(X, 1), \text{Exhibits}(X, 2), \text{Exhibits}(X, 3) \} \end{array} \middle| \begin{array}{l} X \in \{C, \dots, H\} \\ n \neq m \in \{1, 2, 3\} \end{array} \right\}$$

$$\text{CNF} \left(\bigwedge_{n \in \{1, 2, 3\}} \text{Exhibits}(D, n) \equiv \text{Exhibits}(G, n) \right) =$$

$$\left\{ \begin{array}{l} \{ \neg \text{Exhibits}(D, n), \text{Exhibits}(G, n) \} \\ \{ \neg \text{Exhibits}(G, n), \text{Exhibits}(D, n) \} \end{array} \middle| n \in \{1, 2, 3\} \right\}$$

Problem solving with Propositional Resolution

$$\text{CNF} \left(\bigwedge_{n \in \{1,2,3\}} \left(\text{Exhibits}(E, n) \wedge \text{Exhibits}(F, n) \supset \bigwedge_{\substack{X \in \{C, \dots, H\} \\ X \notin \{E, F\}}} \neg \text{Exhibits}(X, n) \right) \right) =$$

$$\left\{ \left\{ \begin{array}{l} \neg \text{Exhibits}(E, n), \neg \text{Exhibits}(F, n), \\ \neg \text{Exhibits}(X, n) \end{array} \right\} \mid \begin{array}{l} n \in \{1, 2, 3\} \\ X \in \{C, \dots, H\} \setminus \{E, F\} \end{array} \right\}$$

Problem solving with Propositional Resolution

$$\text{CNF} \left(\bigwedge_{n \in \{1,2,3\}} \bigvee_{X \in \{C, \dots, H\}} \text{Exhibits}(X, n) \right) =$$

$$\{ \{ \text{Exhibits}(X, n) \mid X \in \{C, \dots, H\} \} \mid n \in \{1, 2, 3\} \} =$$

$$\left\{ \begin{array}{l} \{ \text{Exhibits}(C, 1), \text{Exhibits}(C, 2), \text{Exhibits}(C, 3) \} \\ \{ \text{Exhibits}(D, 1), \text{Exhibits}(D, 2), \text{Exhibits}(D, 3) \} \\ \vdots \\ \{ \text{Exhibits}(H, 1), \text{Exhibits}(H, 2), \text{Exhibits}(H, 3) \} \end{array} \right\}$$

Problem solving with Propositional Resolution

$$\text{CNF} \left(\bigwedge_{n \in \{1,2,3\}} \bigwedge_{\substack{S \subset \{C, \dots, H\} \\ |S|=4}} \neg \left(\bigwedge_{X \in E} \text{Exhibits}(X, n) \right) \right) =$$

$$\left\{ \left\{ \neg \text{Exhibits}(X_1, n), \neg \text{Exhibits}(X_2, n), \right. \right. \left. \left. \neg \text{Exhibits}(X_3, n), \neg \text{Exhibits}(X_4, n), \right\} \mid \begin{array}{l} \{X_1, X_2, X_3, X_4\} \subset \{C, \dots, H\} \\ X_i \neq X_j \text{ for } i \neq j, \quad n \in \{1, 2, 3\} \end{array} \right\} =$$

$$\left\{ \begin{array}{l} \{\neg \text{Exhibits}(C, 1), \neg \text{Exhibits}(D, 1), \neg \text{Exhibits}(E, 1), \neg \text{Exhibits}(F, 1)\} \\ \{\neg \text{Exhibits}(C, 1), \neg \text{Exhibits}(D, 1), \neg \text{Exhibits}(E, 1), \neg \text{Exhibits}(G, 1)\} \\ \{\neg \text{Exhibits}(C, 1), \neg \text{Exhibits}(D, 1), \neg \text{Exhibits}(E, 1), \neg \text{Exhibits}(H, 1)\} \\ \vdots \\ \{\neg \text{Exhibits}(E, 1), \neg \text{Exhibits}(F, 1), \neg \text{Exhibits}(G, 1), \neg \text{Exhibits}(H, 1)\} \end{array} \right\}$$

$CNF(\neg(Exhibites(D, 1) \wedge Exhibites(E, 2) \wedge Exhibites(F, 3) \supset \phi) =$

$\{\{Exhibites(D, 1)\}, \{Exhibites(E, 2)\}, \{Exhibites(F, 3)\}, \{\neg\phi\}\}$

where ϕ is one of the following formulas

- 1 $Exhibits(C, 1)$ NO
- 2 $Exhibits(B, 3)$ NO
- 3 $Exhibits(G, 1)$ YES
- 4 $Exhibits(H, 2)$ NO
- 5 We consider the last case separately

Problem solving with Propositional Resolution

$Exhibits(D, 1) \equiv Exhibits(G, 1)$	assumption	(1)
$Exhibits(D, 1) \wedge Exhibits(E, 2) \wedge Exhibits(F, 2) \supset$		
$Exhibits(G, 1)$	goal	(2)
$\neg Exhibits(D, 1), Exhibits(G, 1)$	clausify (1)	(3)
$Exhibits(D, 1)$	deny (10)	(4)
$\neg Exhibits(G, 1)$	deny (10)	(5)
$Exhibits(G, 1)$	RES (3), (4)	(6)
\perp	RES (6), (5)	(7)

Problem solving with Propositional Resolution

- 5 Sculptures C and H must be exhibited in the same room.

$$\bigvee_{n \in \{1,2,3\}} Exhibits(C, n) \equiv Exhibits(H, n)$$

$$CNF \left(\neg \left(Exhibites(D, 1) \wedge Exhibites(E, 2) \wedge Exhibites(F, 3) \supset \bigvee_{n \in \{1,2,3\}} Exhibits(C, n) \equiv Exhibits(H, n) \right) \right) =$$

$$\left\{ \begin{array}{l} \{Exhibites(D, 1)\}, \{Exhibites(E, 2)\}, \{Exhibites(F, 3)\} \\ \{Exhibites(C, 1), Exhibites(H, 1)\}, \{\neg Exhibites(C, 1), \neg Exhibites(H, 1)\}, \\ \{Exhibites(C, 2), Exhibites(H, 2)\}, \{\neg Exhibites(C, 2), \neg Exhibites(H, 2)\}, \\ \{Exhibites(C, 3), Exhibites(H, 3)\}, \{\neg Exhibites(C, 3), \neg Exhibites(H, 3)\} \end{array} \right\}$$

$Exhibits(E, 2) \wedge Exhibits(F, 2) \supset \neg Exhibits(C, 2)$	assumption	(8)
$Exhibits(E, 2) \wedge Exhibits(F, 2) \supset \neg Exhibits(H, 2)$	assumption	(9)
$Exhibits(D, 1) \wedge Exhibits(E, 2) \wedge Exhibits(F, 2) \supset$ $(Exhibits(C, 1) \equiv Exhibits(H, 1)) \vee$ $(Exhibits(C, 2) \equiv Exhibits(H, 2)) \vee$ $(Exhibits(C, 3) \equiv Exhibits(H, 3))$	goal	(10)
$\{\neg Exhibits(E, 2), \neg Exhibits(F, 2), \neg Exhibits(C, 2)\}$	clausify (8)	(11)
$\{\neg Exhibits(E, 2), \neg Exhibits(F, 2), \neg Exhibits(H, 2)\}$	clausify (9)	(12)
$Exhibits(E, 2)$	deny (10)	(13)
$Exhibits(F, 2)$	deny (10)	(14)
$Exhibits(C, 2), Exhibits(H, 2)$	deny (10)	(15)
$\neg Exhibits(F, 2), \neg Exhibits(H, 2)$	RES (12), (13)	(16)
$\neg Exhibits(H, 2)$	RES (16), (14)	(17)
$\neg Exhibits(F, 2), \neg Exhibits(C, 2)$	RES (11), (13)	(18)
$\neg Exhibits(C, 2)$	RES (18), (14)	(19)
$Exhibits(H, 2)$	RES (15), (19)	(20)
\perp	RES (20), (17)	(21)

Model generation - Mace4

- Prover9 tries to show that $\Gamma \models \phi$ by making attempts to show that the set of formulas $\Gamma \cup \{\neg\phi\}$ is not satisfiable.
- If Prover9 succeeds ok in showing that $\Gamma \cup \{\neg\phi\}$ is not satisfiable, then clearly $\Gamma \models \phi$.
- But what about if Prover9 fails in showing that $\Gamma \cup \{\neg\phi\}$ is not satisfiable? i.e., when $\Gamma \cup \{\neg\phi\}$ is **satisfiable**?
- Can we have a model for $\Gamma \cup \{\neg\phi\}$?
- Yes, we have to use **Mace4**.

- Mace4 is a program that searches for **finite models** of first-order formulas.
- For a given domain size, all instances of the formulas over the domain are constructed. The result is a set of ground clauses with equality.
- Then, a decision procedure based on ground equational rewriting is applied. If satisfiability is detected, one or more models are printed.

Mace4 – example

Input file:

```
arc(x,y) -> node(x) & node(y).
exists x1 exists x2 exists x3 (color(x1) & color(x2) & color(x3) &
    x1 != x2 & x2 != x3 & x1 != x3).
color(x1) & color(x2) & color(x3) & color(x4) ->
    x1=x2 | x1=x3 | x1=x4 | x2=x3 | x2=x4 | x3=x4.
hascolor(x,y) -> node(x) & color(y).
color(x) -> -node(x).
color(x) | node(x).
node(x) -> exists y hascolor(x,y).
hascolor(x,y1) & hascolor(x,y2) -> y1=y2.
N1 != N2 & N1 != N3 & N1 != N4 & N2 != N3 & N2 != N4 & N3 != N4.
arc(N1,N2).
arc(N2,N3).
arc(N3,N1).
arc(N1,N4).
arc(N2,N4).
% arc(N3,N4).
arc(x,y) -> arc(y,x)
-arc(x,x).
arc(x,y) & hascolor(x,z) -> -hascolor(y,z).
```


Mace4 – example

Produced model:

```
interpretation( 7, [number = 1,seconds = 0], [  
  function(N1, [0]),                function(c1, [4]),  
  function(N2, [1]),                function(c2, [5]),  
  function(N3, [2]),                function(c3, [6]),  
  function(N4, [3]),  
  function(f1(_), [4,5,6,6,0,0,0]),  
  relation(color(_), [0,0,0,0,1,1,1]),  
  relation(node(_), [1,1,1,1,0,0,0]),  
  relation(arc(_,_), [  
    0,1,1,1,0,0,0,                relation(hascolor(_,_), [  
    1,0,1,1,0,0,0,                0,0,0,0,1,0,0,  
    1,1,0,0,0,0,0,                0,0,0,0,0,1,0,  
    1,1,0,0,0,0,0,                0,0,0,0,0,0,1,  
    0,0,0,0,0,0,0,                0,0,0,0,0,0,1,  
    0,0,0,0,0,0,0,                0,0,0,0,0,0,0,  
    0,0,0,0,0,0,0,                0,0,0,0,0,0,0,  
    0,0,0,0,0,0,0])],          0,0,0,0,0,0,0)])).
```