

# Lesson 8 - Density Functional Theory

## Unit 8.1 Thomas-Fermi density functional

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# Thomas-Fermi functional (I)

In 1927 Thomas and Fermi described the electronic structure of atoms by focusing on the electron local density  $\rho(\mathbf{r})$  of  $N$  electrons, such that

$$\int d^3\mathbf{r} \rho(\mathbf{r}) = N. \quad (1)$$

According to Thomas and Fermi, the ground-state energy of the electrons can be captured by the functional

$$E_{TF}[\rho] = T_{TF}[\rho] + E_D[\rho] + \int d^3\mathbf{r} U(\mathbf{r}) \rho(\mathbf{r}), \quad (2)$$

where

$$T_{TF}[\rho] = \int d^3\mathbf{r} \frac{3}{5} \frac{\hbar^2}{2m} (3\pi^2)^{2/3} \rho(\mathbf{r})^{5/3} \quad (3)$$

is the Thomas-Fermi kinetic energy, i.e. the local approximation to the kinetic energy of the ideal Fermi gas,

$$E_D[\rho] = \frac{1}{2} \int d^3\mathbf{r} d^3\mathbf{r}' \rho(\mathbf{r}) V(\mathbf{r} - \mathbf{r}') \rho(\mathbf{r}'), \quad (4)$$

is the (Hartree-like) direct energy of electron-electron interaction  $V(\mathbf{r})$ , while  $U(\mathbf{r})$  is the nucleus-electron interaction potential.

# Thomas-Fermi functional (II)

The Thomas-Fermi energy functional of the local electronic density  $\rho(\mathbf{r})$  can be minimized with the constraint of the normalization of  $\rho(\mathbf{r})$  to  $N$ . In this way one finds

$$\frac{\hbar^2}{2m}(3\pi^2)^{2/3}\rho^{2/3} + U(\mathbf{r}) + U_{mf}(\mathbf{r}) = \mu, \quad (5)$$

where

$$U_{mf}(\mathbf{r}) = \int d^3\mathbf{r}' V(\mathbf{r} - \mathbf{r}') \rho(\mathbf{r}') \quad (6)$$

is the Hartree-like mean-field potential acting on the electrons and  $\mu$  is the Lagrange multiplier fixed by the normalization. The previous equation can be written as

$$\rho(\mathbf{r}) = \frac{(2m)^{3/2}}{3\pi^2\hbar^3} \left( \mu - U(\mathbf{r}) - \int d^3\mathbf{r}' V(\mathbf{r} - \mathbf{r}') \rho(\mathbf{r}') \right)^{3/2} \quad (7)$$

Eq. (7) is an implicit integral equation for the local electronic density  $\rho(\mathbf{r})$  which can be solved numerically by using an iterative procedure.

# Thomas-Fermi-Dirac-von Weizsäcker functional (I)

The Thomas-Fermi functional does not include the exchange energy of the electrons due to the Pauli principle. A better theoretical description is obtained with the Thomas-Fermi-Dirac-von Weizsäcker model, whose energy functional is given by

$$E_{TFDW}[\rho] = E_{TF}[\rho] + E_X[\rho] + E_W[\rho], \quad (8)$$

where  $E_{TF}[\rho]$  is the Thomas-Fermi energy functional of Eq. (2),

$$E_X[\rho] = - \int d^3\mathbf{r} \frac{3}{4} \frac{e^2}{4\pi\epsilon_0} \left(\frac{3}{\pi}\right)^{1/3} \rho(\mathbf{r})^{4/3} \quad (9)$$

is the functional correction introduced by Paul Dirac in 1930 to take into account the exchange energy which appears in the Hartree-Fock method, and

$$E_W[\rho] = \int d^3\mathbf{r} \lambda_W \frac{\hbar^2}{2m} (\nabla \sqrt{\rho(\mathbf{r})})^2 \quad (10)$$

is the functional correction introduced by Carl Friedrich von Weizsäcker in 1935 to improve the accuracy of the kinetic energy with  $\lambda_W$  a phenomenological parameter.